NEVADA OCCUPATIONAL SAFETY AND HEALTH

REVIEW BOARD

CHIEF ADMINISTRATIVE OFFICER OF THE OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION, DIVISION OF INDUSTRIAL RELATIONS OF THE DEPARTMENT OF BUSINESS AND INDUSTRY,

Complainant,

vs.

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SILVER STATE WIRE ROPE & RIGGING,

Respondent.



Docket No. LV 08-1334

DECISION

This matter having come before the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD at a hearing commenced on the 9th day of January 2008, in furtherance of notice duly provided according to law, MR. JOHN WILES, ESQ., counsel appearing on behalf of the Complainant, Chief Officer of the Occupational Safety and Health Administrative Administration, Division of Industrial Relations (OSHA); and MR. GEORGE GRAFF, appearing on behalf of Respondent, Silver State Wire Rope & Rigging; the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD finds as follows:

Jurisdiction in this matter has been conferred in accordance with Nevada Revised Statute 618.315.

The complaint filed by the OSHA sets forth allegations of violation of Nevada Revised Statutes as referenced in Exhibit "A", attached thereto.

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Citation 1, Item 2(a) charges a "serious" violation of 29 CFR

1910.333(a). Complainant alleges that the Respondent employer failed to ensure that safety-related work practices were utilized at a work site in North Las Vegas, Nevada. Two employees of Respondent were performing radio transmitter/receiver repairs on an energized crane bridge electrical control panel without having employed safety-related work practices to prevent electrical shock. The violation was classified as serious due to the potential for serious injury or death The proposed penalty for the serious with could reasonably result. violation is in the amount of ONE THOUSAND FIVE-HUNDRED DOLLARS (\$1,500.00).

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Prior to commencement of the hearing, division counsel dismissed Citation 1, Item 1 charging a "serious" violation of 29 CFR 1910.132(d)(1), and Citation 1, Item 2(b) charging a "serious" violation of 29 CFR 1910.333(c)(2).

Counsel for the Chief Administrative Officer presented testimony 15 and evidence with regard to the alleged violation. Safety and Health 16 17 Representative (SHR) Corey Church testified that he inspected the work site of Respondent at the Jensen Precast facility located in North Las 18 Vegas, Nevada on or about August 3, 2007 based upon notification that 19 a serious injury and death had occurred. The SHR presented photographic 20 21 and documentary evidence which was subject of stipulation and admitted into the record. Mr. Church testified that from his investigation Respondent employee, Mr. Lynn Lloyd, was engaged in placing a remote control transmitter/receiver component on an overhead bridge crane while its electrical control panel was energized. The SHR determined based upon interviews and investigation that the hot temperature of the working environment may have caused extensive sweating fluid on the skin causing greater susceptibility to electrical shock. He further found

that the employee was working with needlenose pliers that lacked a portion of insulation on one arm thereby exposing the subject employee's unprotected hand to metal. SHR Church noted that the employee was sitting on an overturned bucket and likely leaning back against the metal guard railing of the crane's catwalk. Mr. Church concluded in his investigation that each of the foregoing factors contributed to the death of the employee when he apparently grasped an energized conductor.

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On continued direct examination Mr. Church testified that based upon his investigation, the employer did not conduct a workplace hazard assessment and no documentation of such an assessment was produced despite request. He further testified that the employee was not wearing appropriate personal protective equipment while working on an energized circuit. Mr. Church testified that the employer did not employ safetyrelated work practices prior to sending the two employees to perform the subject work tasks; and that the employer could have corrected the hazardous conditions in the workplace through reasonable compliance with the cited standard.

Counsel for Respondent conducted cross-examination of SHR Church. 18 Mr. Church testified that the applicable standards do in fact permit 19 employees to perform the subject repair on an energized circuit and that same was subject of an option of the employees. He further testified that employee Lloyd apparently chose to work on the system while it was energized for his own reasons. Mr. Church identified photographic evidence depicting the existence of a test meter and the frayed nose pliers apparently utilized. Mr. Church testified that he found no evidence that the subject employees had been subjected to safety-related practices in training and was told by an employee of Respondent that the company did not conduct same.

On board examination Mr. Church testified that documentary evidence stipulated into the record appears to establish the existence of a safety program contrary to his previous testimony. Further board examination confirmed dismissal of Citation 1, Item 1 and Citation 1, Item 2(b) involving personal protective equipment was based upon it having been demonstrated to the Complainant that the deceased employee was sufficiently experienced in the field and qualified to self determine whether he would work on an energized or de-energized system without said protection.

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10 Complainant presented evidence and testimony from witness Jacob Leavitt. Mr. Leavitt identified himself as an employee of Respondent 11 and co-worker of the deceased, Mr. Lynn Lloyd. Mr. Leavitt testified 12 13 he was a trainee on the job, had never before worked on an energized He stated that he understood the circuit remained energized 14 circuit. 15 during the work task per Mr. Lloyd in order that other tests might be 16 performed. Mr. Leavitt testified that he was provided safety training 17 before being sent to the job by Mr. Andrew Rogers, the company crane 18 supervisor. He also testified that the job task was reviewed by he and 19 Mr. Lloyd with Mr. Rogers and that instructions were given to take 20 harness safety and appropriate shoe wear. He further testified that he 21 was merely a helper and only assigned to assist Mr. Lloyd who he 22 understood to be experienced in the subject job task. Mr. Leavitt also 23 testified he was trained in electrical shock remedial action but on the 24 day of the accident grabbed the decedent while he was being shocked 25 because he acted spontaneously.

On cross-examination Mr. Leavitt testified that the company did have a work policy at the time of the accident that permits an employee to stop work for stress, comfort, water, or can quit work for the day

if conditions so warrant.

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On board questioning Mr. Leavitt testified that when he was hired by Respondent he was given safety training for shop but not electrical work.

Counsel for Complainant called Mr. William Wilson as a witness. He testified he is an employee of Respondent serving as an overhead crane technician and experienced in working on electrical panels. He stated that he has worked on energized and de-energized panels over approximately 16 years and experienced in the work and OSHA standards. Mr. Wilson further testified that his supervisor Mr. Rogers always reviewed the job and job requirements with him before sending him to a worksite. He stated that Mr. Rogers reviewed the job with he and Mr. Lloyd before sending them to the site. He testified that he never wears insulated gloves as it interferes with the work task, uses a meter to test for voltage and problems, and commonly works only on 110 volt lines rather than high-voltage as an employee of Silver State. Mr. Wilson testified that he had previously worked with the decedent Mr. Lloyd and felt that he was capable and skilled. He and Mr. Lloyd had installed the same system as on the day of the accident four or five times during the year.

On cross-examination counsel for Respondent Mr. Wilson, testified 21 and identified documentary evidence that he (Wilson) was trained in 22 23 lock-out/tag-out, attended weekly safety meetings, and confirmed his statement in the exhibit that he was trained in electrical safety 24 Mr. Wilson testified, in contravention of SHR Church's 25 practices. testimony that he (Wilson) did not make any statement which Mr. Church 26 27 reflected in the investigative file that he was not trained in safety practices by Respondent. Mr. Wilson testified in furtherance of 28

Respondent's Exhibit A in evidence, as to safety meeting minutes and training. Exhibit A-1 demonstrated that Mr. Wilson signed safety meeting minutes. The evidence also reflected the signature of Mr. Lloyd, the deceased, as having attended the safety meetings identified by Mr. Wilson.

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On board questions, Mr. Wilson testified that he never personally installed a radio transmitter on an energized panel and that he and the deceased Lloyd previously installed exactly the same system together but they did not work on the panel while it was energized. He further testified that he receives weekly "tool box" safety meetings and had attended safety work training.

Counsel for Complainant called Mr. Andrew Rogers as a witness. Mr. 12 Rogers identified himself as an employee of Respondent and the 13 supervisor of employees Lloyd and Wilson. He testified that he recalled 14 discussing with SHR Church certain aspects in the investigation but 15 denied ever having stated to the SHR what was contained in the SHR's 16 investigative report that the company does not maintain safety related 17 He said that the company does maintain safety work practices. 18 practices. He believed Mr. Church misinterpreted his comments because 19 the company safety practices may have been less than what comports with 20 those determined to be applicable by the SHR. 21

On board questions, witness Rogers testified that he could not recall any job for the employer which requires work on a "hot" (energized) electrical line. He also testified that he has implemented disciplinary action and retraining for violations of work rules, but none related to electrical work.

At the conclusion of Complainant's case, Respondent presented witness testimony from Mr. Pete Rogers, the president of Respondent.

Mr. Rogers testified that safety training is provided to every employee on a bi-monthly basis. He further testified that the deceased employee, Mr. Lloyd, was trained by an owner of the company, worked extensively in the field and for the Respondent over a period of 3-1/2 years. Mr. Rogers testified that he could not understand why Mr. Lloyd did not deenergize the line before working. He testified the company policy is that all on-site hazards are assessed by employees in the field and they are "admonished to exhaustion" to look for hazards in the field.

On board questioning Mr. Rogers testified that employee Nancy Leavitt maintains the records of all safety meetings. He further testified that the company provides test meters and all tools to employees, but that sometimes employees prefer using their own equipment. Finally Mr. Rogers testified that the Respondent has been in business in Las Vegas since 1991.

At the conclusion of the hearing the Complainant and Respondent presented closing arguments.

The Complainant argued that sworn testimony of the SHR was credible, although in conflict with testimony of the witness employees of Respondent relative to work practices of the Respondent. He argued that while no one knows exactly how the death of Mr. Lloyd occurred, the trainee, Mr. Wilson, had no training or safety experience in electrical problem issues, yet he was sent on the job to assist with work in proximity of electric circuits. He argued that the employees were also doing other maintenance work but the radio repair manual was in the work bag, not at the subject site. He further argued that the record and testimony demonstrate that the employer did not know or understand his obligations as to safety. Counsel emphasized that the most telling example of the lack of workplace electrical safety is the lack of

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insulated gloves being provided and not used by the employees (Wilson and Lloyd) simply because they were not preferred. He also argued that the Wilson testimony was that the employer did not inspect the employee tools. Counsel further argued there was no evidence that the employee complied with the standard. Counsel referenced the standard cited at 29 CFR 1910.333(a) which provided:

> (a) General. Safety-related work practices shall be employed to prevent electric shock or other injuries resulting from either direct or indirect electrical contacts, when work is performed near or on equipment or circuits which are or may be energized. The specific safety-related work practices shall be consistent with the nature and extent of the associated electrical hazards.

Counsel argued that the employer had an obligation to provide equipment, tools, and practices to protect against electrical hazard and that just having a safety program or equipment available is not enough to evidence compliance.

The Respondent argued that the report of SHR Church regarding safety practices being deficient was in direct conflict with testimony of four witnesses employed by the Respondent. The employees testified that they follow company work rules, do have training and that if anything the deceased employee Lloyd violated company safety rule practices to the extent of same constituting employee misconduct. The deceased employee was experienced and he elected to work on an energized system with pliers not sufficiently insulated.

The board in reviewing the evidence and testimony finds insufficient facts and weight of competent evidence to demonstrate that the employees of Respondent were exposed to the subject hazard and death due to a failure on the part of the employer to comply with the **subject standard cited** i.e. 29 CFR 1910.333(a).

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The requirements of the cited standard are general in nature. The evidence of Respondent's employees, together with the documents admitted in evidence support compliance with the standard. The burden of proof rests with OSHA under Nevada law (see NAC 618.788(1)). The sworn testimony of the Respondent's witnesses was not impeached and appeared to be credible. The documentary exhibits support compliance with the elements of the standard.

While the board does not reach the necessity to analyze the defense of unpreventable employee misconduct, it would appear to be viable which would excuse the employer even had the initial burden of proof been established by the Complainant to shift the burden to Respondent as to the defense. See *Jensen Construction Co.*, 7 OSHC 1477, 1979 OSHD **(**23,664 (1979). Accord, *Marson Corp.*, 10 OHSHC 2128, 1980 OSHC 1045 **(**24,174 (1980). The elements required for the defense of employee misconduct are:

- (1) The employer must establish work rules designated to prevent the violation
- (2) The employer has adequately communicated these rules to its employees
- (3) The employer has taken steps to discover violations
- (4) The employer has effectively enforced the rules when violations have been discovered.

1. In the subject case, the testimony of four witnesses, under oath, must be given reasonable weight and credibility. That testimony establishes there were work rules designed to prevent the violation. The company is not an electrical company per se and engages only in secondary electrical repair work which does not require a licensed electrician. The subject repair work was on a 110 volt panel. These facts support the witness testimony as to the extent of the work rules

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and training provided.

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2. The employer has adequately communicated these rules to its employees. Again, while the identified safety program and communication does not appear to be the best, there was sufficient testimony and evidence from four witnesses, that safety meetings and other training occurred. The admitted documents of meeting attendance must also be considered as evidence of communication.

employer has taken steps to discover violations. з. The 8 9 Respondent sent Mr. Lloyd a very experienced designated employee to perform the work, albeit with a helper who had little or no experience. 10 11 The work task was reviewed with the two employees by the supervisor. The employer provided tools at the request of employees. The 12 experienced lead employee, Mr. Lloyd, elected on his own to work on an 13 energized system. Mr. Lloyd had performed the exact same work four to 14 five times during the year. The foregoing facts all militate toward 15 satisfaction of the test. 16

17 4. The employer has effectively enforced the rules when 18 violations have been discovered. Again, while the evidence was limited 19 in this regard, supervisor Rogers testified that he had implemented 20 discipline, although none as to electrical, apparently because none had 21 occurred in this company which is not directly engaged in electrical 22 work.

> Evidence that the employer effectively communicated enforced safety policies to protect against the hazard permits an inference that the employer justifiably relied on its employees to comply with the applicable safety rules and that violations of these safety policies were not foreseeable or preventable. <u>Austin Bldg. Co. v. Occupational</u> <u>Safety & Health Review Comm.</u>, 647 F.2d 1063, 1068 (10th Cir. 1981). When an employer proves that it has effectively communicated and enforced its safety policies, serious citations are dismissed.

See Secretary of Labor v. Consolidated Edison Co.,, 13 O.S.H. Cas. (BNA) 2107 (OSHRC Jan. 11, 1989); Secretary of Labor v. General Crane Inc., 13 O.S.H. Cas. (BNA) 1608 (OSHRC Jan. 19, 1988); Secretary of Labor v. Greer Architectural Prods. Inc., 14 O.S.H. Cas. (BNA) 1200 (OSHRC July 3, 1989).

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In all proceedings commenced by the filing of a notice of contest, the burden of proof rests with the Administrator. (See NAC 618.788(1).

All facts forming the basis of a complaint must be proved by a preponderance of the evidence. See <u>Armor Elevator Co.</u>, 1 OSHC 1409, 1973-1974 OSHD **1**6,958 (1973).

To establish a prima facie case, the Secretary (Chief Administrative Officer) must prove the **existence of a violation**, the exposure of employees, the reasonableness of the abatement period, and the appropriateness of the penalty. See <u>Bechtel Corporation</u>, 2 OSHC 1336, 1974-1975 OSHD **[**18,906 (1974); <u>Crescent Wharf & Warehouse</u> <u>Co.</u>, 1 OSHC 1219, 1971-1973 OSHD **[**15,047. (1972). (Emphasis added.)

The board finds that Complainant did not meet the required burden of proof to establish a violation of the cited standard.

Notwithstanding the board not being required to reach the defense of unpreventable employee misconduct same would appear to lie even had the Complainant met its burden of proof.

Based upon the above and foregoing, it is the decision of the **NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD** that no violation of Nevada Revised Statutes did occur as to Citation 1, Item 2(a), 29 CFR 1910.333(a). The violation charged is hereby dismissed and the proposed penalty of ONE THOUSAND FIVE HUNDRED DOLLARS (\$1,500.00) is denied.

The Board directs counsel for the Respondent to submit proposed Findings of Fact and Conclusions of Law to the **NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD** and serve copies on opposing counsel within twenty (20) days from date of decision. After five (5) days time for filing any objection, the final Findings of Fact and Conclusions of Law shall be submitted to the **NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD** by prevailing counsel. Service of the Findings of Fact and Conclusions of Law signed by the Chairman of the **NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD** shall constitute the Final Order of the **BOARD**.

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DATED: This 5th day of February 2008.

NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD

Bv TOM TERS. Chairman